

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (DO/EO/US)

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|--------------------------------|---|--|
| In Re Patent Application of: | : | |
| Bodo ASMUSSEN et al. | : | |
| Conf. No.: 1716 | : | Group Art Unit: Not Yet Assigned |
| Appln. No.: 10/569,160 | : | |
| Filing Date: February 21, 2006 | : | Examiner: Not Yet Assigned |
| International | : | |
| Appln. No.: PCT/EP04/04325 | : | |
| International | : | Attorney Docket No.: 683105-2US |
| Filing Date: April 23, 2004 | : | (JA005/2003US) |
| Title: | | BUCCAL FORMULATIONS OF GALANTHAMINE AND USES THEREOF |

**TRANSMITTAL OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In response to the Notice to File Missing Requirements dated September 11, 2006, we submit herewith the following:

- ☒ [X] Copy of the Notice to File Missing Requirements -- Filing Date Granted, mailed September 11, 2006.
- ☐ [] No Notice to File Missing Parts has been received.
- ☒ [X] Copy of executed Declaration and Power of Attorney.
- ☐ [] Verified English translation of application as filed.
- ☐ [] Priority document(s): Certified copy(ies) of patent application No(s). , filed in on from which priority is claimed under 35 U.S.C. § 119.
- ☐ [] Formal drawings: sheets
- ☐ [] Information Disclosure Statement, PTO/SB/08A and/or PTO/SB/08B, and cited references.
- ☒ [X] Preliminary Amendment.
- ☐ [] Nucleotide and/or Amino Acid Sequence Submission, including:

- ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement
☐ Petition for Extension of Time along with requisite fee.
☐ Request for Refund.
☐ Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
☐ Other:

| | | | SMALL ENTITY | | | LARGE ENTITY | |
|--|-----------|--------------|--|-----------|----|--------------------------------------|------------|
| CLAIMS | NO. FILED | NO. EXTRA | BASIC, SEARCH, AND EXAMINATION FEES: | | | BASIC, SEARCH, AND EXAMINATION FEES: | |
| | | | \$ 500 | | | \$1,000 | |
| Total | 23-20 = | 3 | X25 | \$ | OR | X50 | \$150.00 |
| Independent | - 3= | | X100 | \$ | OR | X200 | \$ |
| <input type="checkbox"/> Multiple Dependent Claims Present | | | \$180 | \$ | OR | \$360 | \$ |
| <input type="checkbox"/> Application Size Fee | | | | | | | |
| Total sheets | | Add'l sheets | # of sets of 50 (round up to whole number) | | | | |
| -100 | = | | /50 = | x \$125 = | \$ | OR | x 250 = \$ |
| | | | TOTAL | \$ | OR | TOTAL | \$150.00 |

- ☒ The following fees noted below are being paid.
 ☒ Surcharge of \$130.00 for late filing of filing fee and/or Declaration and Power of Attorney.
 ☐ Surcharge of \$130 for late filing of English translation.
 ☐ Extension of Time fee in the amount of \$____.00 (Petition for Extension of Time is attached).
 ☒ Filing Fee in the amount of \$150.00 calculated above.
☐ Enclosed is our firm's check in the amount of \$____.00 for the above fee(s).
☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (Billing No. 683105.0002) as noted below.
 ☒ The above-calculated fee(s) calculated totaling \$280.00.
 ☒ Any overpayments or deficiencies in the above-calculated fee(s).

- [X] Any additional fees required under 37 C.F.R. § 1.16 or § 1.17.
- [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS

October 13, 2006
(Date)

By:



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Enclosures

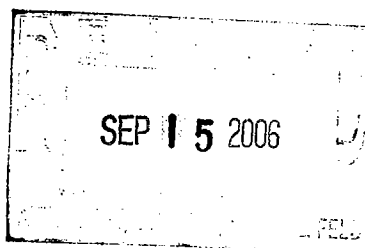


UNITED STATES PATENT AND TRADEMARK OFFICE

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| | | |
|-----------------------------|-----------------------|---------------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/569,160 | Bodo Asmussen | 683105-2US (JA005/2003US) |

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|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/EP04/04325 | |
| I.A. FILING DATE | PRIORITY DATE |
| 04/23/2004 | 08/19/2003 |

CONFIRMATION NO. 1716

371 FORMALITIES LETTER



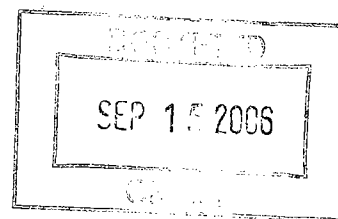
OC000000020364349

Date Mailed: 09/11/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/21/2006
- English Translation of the IA filed on 02/21/2006
- Copy of the International Search Report filed on 02/21/2006
- Copy of IPE Report filed on 02/21/2006
- Request for Immediate Examination filed on 02/21/2006
- U.S. Basic National Fees filed on 02/21/2006
- Priority Documents filed on 02/21/2006
- Specification filed on 02/21/2006
- Claims filed on 02/21/2006
- Abstracts filed on 02/21/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$910** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$1040** for a Large Entity:

- **\$130** Surcharge.
- Total additional claim fee(s) for this application is **\$ 910**
 - **\$550** for 17 total claims over 20.
 - **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|---------------------------|
| 10/569,160 | PCT/EP04/04325 | 683105-2US (JA005/2003US) |